

FORM MGT - 9
EXTRACT OF ANNUAL RETURN
For the FY ended March 31, 2019

[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12 (1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	L67120KL1994PLC007726
Registration Date	February 18, 1994
Name of the Company	Muthoot Capital Services Limited
Category of the Company	Company Limited by Shares
Address of the Registered Office and contact details:	Muthoot Capital Services Limited 3 rd Floor, Muthoot Towers, M.G Road, Kochi, Kerala - 682 035 Tel: +91 484 6619600/6613450, Fax: +91 484 2381261
Whether Listed Company	Yes
Name, Address and Contact details of Registrar and Transfer Agent	Integrated Registry Management Services Private Limited 2 nd Floor, Kences Towers, No.1, Ramakrishna Street, Off: North Usman Road, T. Nagar, Chennai - 600 017 Tel: 044 - 28140801 - 803; Fax: 044 - 28142479 Email: csdstd@integratedindia.in Contact Person: Mr. K. Balasubramanian, Deputy General Manager

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sl. No.	Name and Description of main products/Services	NIC Code of the Product/Service	% to total turnover of the Company
1.	Income from financing activities	65923 (Activities of commercial loan companies)	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Not Applicable

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of shareholders	No. of Shares held at the beginning of the year i.e., 01.04.2018				No. of Shares held at the end of the year i.e., 31.03.2019				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
1) Indian									
a) Individual	10279752	0	10279752	62.50	10277972	0	10277972	62.49	(0.01)
b) Central Govt.	0	0	0	0	0	0	0	0	--
c) State Govt.(s)	0	0	0	0	0	0	0	0	--
d) Bodies Corp.	0	0	0	0	0	0	0	0	--
e) Banks/FI	0	0	0	0	0	0	0	0	--
f) Any Others	0	0	0	0	0	0	0	0	--
Sub-total (A) (1)	10279752	0	10279752	62.50	10277972	0	10277972	62.49	(0.01)
2) Foreign									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	--
b) Other - Individuals	0	0	0	0	0	0	0	0	--
c) Bodies Corp.	0	0	0	0	0	0	0	0	--
d) Banks/FI	0	0	0	0	0	0	0	0	--
e) Any Other	0	0	0	0	0	0	0	0	--
Sub-total (A) (2)	0	0	0	0	0	0	0	0	--
Total Promoter Shareholding	10279752	0	10279752	62.50	10277972	0	10277972	62.49	(0.01)
(A) = (A) (1) + (A) (2)									
B. Public Shareholding									
1) Institutions									
a) Mutual Funds	1775895	0	1775895	10.80	1884955	0	1884955	11.46	0.66
b) FI/Banks	1037	330	1367	0.01	4538	330	4868	0.03	0.02
c) Central Govt.	53379	0	53379	0.32	60421	0	60421	0.37	0.05
d) State Govt.(s)	0	0	0	0	0	0	0	0	--
e) Venture Capital Funds	0	0	0	0	0	0	0	0	--
f) Insurance Companies	0	0	0	0	0	0	0	0	--
g) FIs	206970	0	206970	1.26	85743	0	85743	0.52	(0.74)

h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	--
i) Others (specify)	0	0	0	0	0	0	0	0	--
Sub-total (B) (1)	2037281	330	2037611	12.39	2035657	330	2035987	12.38	(0.10)
2) Non-Institutions									
a) Bodies Corp. (Indian/Overs eas)	528629	7150	535779	3.26	377844	7040	384884	2.34	(0.92)
b) Individuals:									
i) Holding nominal share capital upto ₹ 1 lakh	1665871	316979	1982850	12.06	1820389	262651	2083040	12.66	0.60
ii) Holding nominal share capital in excess of ₹ 1 lakh	601940	80630	682570	4.15	736685	80630	817315	4.97	0.82
c) Any Other:									
i) Clearing Member	40373	0	40373	0.25	29285	0	29285	0.18	(0.07)
ii) AIF	484222	0	484222	2.94	482909	0	482909	2.94	--
iii) FPI - Cat III	14593	0	14593	0.09	14593	0	14593	0.09	--
iv) LLP	3352	0	3352	0.02	11939	0	11939	0.07	0.05
v) Trust	386431	0	386431	2.35	309609	0	309609	1.88	(0.47)
Sub-total (B) (2)	3725411	404759	4130170	25.11	3783253	350321	4133574	25.13	0.20
Total Public Shareholding (B) = (B) (1) + (B) (2)	5762692	405089	6167781	37.50	5818910	350651	6169561	37.51	0.10
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	--
Grand Total (A + B + C)	16042444	405089	16447533	100	16096882	350651	16447533	100	--

ii) Shareholding of Promoters

Sl. No.	Shareholder	Shareholding at the beginning of the year i.e. 01.04.2018			Shareholding at the end of the year i.e. 31.03.2019			% change in shareholding during the year
		No. of Shares	% of total Shares of the	% of Shares Pledged/ encumbered to total	No. of Shares	% of total Shares of the	% of Shares Pledged/ encumbered to total	
1.	Mr. Thomas John Muthoot	3136094	19.067	0	3134094	19.055	0	(0.012)
2.	Mr. Thomas George Muthoot	3131210	19.038	0	3131430	19.039	0	0.001
3.	Mr. Thomas Muthoot	3076624	18.706	0	3076624	18.706	0	--
4.	Ms. Preethi John	243910	1.483	0	243910	1.483	0	--
5.	Ms. Nina George	299577	1.821	0	299577	1.821	0	--
6.	Ms. Remmy Thomas	392337	2.385	0	392337	2.385	0	--
	Total	10279752	62.500	0	10277972	62.489	0	(0.011)

iii) Change in Promoters' Shareholding

Sl. No.	Date wise Increase / Decrease in Promoters Shareholding during the year	Shareholding at the beginning of the year (01.04.2018)		Cumulative Shareholding during the year (31.03.2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	Mr. Thomas John Muthoot				
	Opening Balance as on 01.04.2018	3136094	19.067		
	29.06.2018	(1096503)	(6.667)	2039591	12.401
	30.06.2018	1096503	6.667	3136094	19.067
	27.07.2018	(2000)	(0.012)	3134094	19.055
	19.09.2018	(1096503)	(6.667)	2037591	12.388
	20.09.2018	1096503	6.667	3134094	19.055
	14.11.2018	(1096503)	(6.667)	2037591	12.388
	15.11.2018	1096503	6.667	3134094	19.055

	16.11.2018	(92659)	(0.563)	3041435	18.492
	17.11.2018	92659	0.563	3134094	19.055
	Closing Balance as on 31.03.2019			3134094	19.055
2	Mr. Thomas George Muthoot				
	Opening Balance as on 01.04.2018	3131210	19.038		
	14.05.2018	240	0.001	3131450	19.039
	14.06.2018	(220)	(0.001)	3131230	19.038
	18.06.2018	(20)	0.000	3131210	19.038
	29.06.2018	(1096502)	(6.667)	2034708	12.371
	30.06.2018	1096502	6.667	3131210	19.038
	19.09.2018	(1096502)	(6.667)	2034708	12.371
	20.09.2018	1096502	6.667	3131210	19.038
	26.09.2018	220	0.001	3131430	19.039
	14.11.2018	(1096502)	(6.667)	2034928	12.372
	15.11.2018	1096502	6.667	3131430	19.039
	Closing Balance as on 31.03.2019			3131430	19.039
3	Mr. Thomas Muthoot				
	Opening Balance as on 01.04.2018	3076624	18.706		
	29.06.2018	(1096502)	(6.667)	1980122	12.039
	30.06.2018	1096502	6.667	3076624	18.706
	19.09.2018	(1096502)	(6.667)	1980122	12.039
	20.09.2018	1096502	6.667	3076624	18.706
	14.11.2018	(1096502)	(6.667)	1980122	12.039
	15.11.2018	1096502	6.667	3076624	18.706
	Closing Balance as on 31.03.2019			3076624	18.706

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name of the Shareholder	Shareholding at the beginning of the year (as on 01.04.2018)		Cumulative Shareholding during the year (as on 31.03.2019)	
		No. of Shares	% of total shares	No. of Shares	% of total shares
1	Aditya Birla Sun Life Trustee Private Limited A/C Aditya Birla Sun Life Resurgent India Fund - Series 6				
	Opening Balance as on 01.04.2018	608162	3.698		

25.05.2018	(7352)	(0.045)	600810	3.653
05.10.2018	(1000)	(0.006)	599810	3.647
01.02.2019	(3400)	(0.021)	596410	3.626
Closing Balance as on 31.03.2019			596410	3.626
2 SBI Equity Opportunities Fund Series IV				
Opening Balance as on 01.04.2018	592837	3.604		
06.04.2018	(100630)	(0.612)	492207	2.993
13.04.2018	100630	0.612	592837	3.604
04.05.2018	33	0.000	592870	3.605
03.08.2018	(8920)	(0.054)	583950	3.550
10.08.2018	(397)	(0.002)	583553	3.548
31.08.2018	(29303)	(0.178)	554250	3.370
07.09.2018	(9668)	(0.059)	544582	3.311
14.09.2018	(1842)	(0.011)	542740	3.300
21.09.2018	(3571)	(0.022)	539169	3.278
19.10.2018	(6747)	(0.041)	532422	3.237
26.10.2018	(1018)	(0.006)	531404	3.231
02.11.2018	(7325)	(0.045)	524079	3.186
09.11.2018	(2446)	(0.015)	521633	3.171
16.11.2018	(1792)	(0.011)	519841	3.161
14.12.2018	(31600)	(0.192)	488241	2.968
01.02.2019	(42000)	(0.255)	446241	2.713
Closing Balance as on 31.03.2019			446241	2.713
3 DSP Blackrock Small Cap Fund				
Opening Balance as on 01.04.2018	383797	2.333		
18.05.2018	19005	0.116	402802	2.449
25.05.2018	10297	0.063	413099	2.512
08.06.2018	7862	0.048	420961	2.559
15.06.2018	76	0.000	421037	2.560
20.07.2018	14877	0.090	435914	2.650
07.09.2018	14877	0.090	450791	2.741
14.09.2018	12613	0.077	463404	2.817
21.09.2018	40858	0.248	504262	3.066
28.09.2018	43194	0.263	547456	3.328
05.10.2018	24499	0.149	571955	3.477
12.10.2018	35599	0.216	607554	3.694
19.10.2018	299	0.002	607853	3.696
26.10.2018	806	0.005	608659	3.701
15.02.2019	9213	0.056	617872	3.757
Closing Balance as on 31.03.2019			617872	3.757

4	India Small and Mid-Cap Gems Fund				
	Opening Balance as on 01.04.2018	363700	2.211		
	28.09.2018	(4603)	(0.028)	359097	2.183
	21.12.2018	(45089)	(0.274)	314008	1.909
	25.01.2019	(4639)	(0.028)	309369	1.881
	Closing Balance as on 31.03.2019			309369	1.881
5	Sundaram Alternative Opportunities Fund - Nano Cap Series I				
	Opening Balance as on 01.04.2018	360547	2.192		
	Closing Balance as on 31.03.2019			360547	2.192
6	Hedge Finance Limited				
	Opening Balance as on 01.04.2018	180286	1.096		
	02.11.2018	(1000)	(0.006)	179286	1.090
	09.11.2018	(675)	(0.004)	178611	1.086
	23.11.2018	(325)	(0.002)	178286	1.084
	21.12.2018	(2000)	(0.012)	176286	1.072
	28.12.2018	(1460)	(0.009)	174826	1.063
	Closing Balance as on 31.03.2019			174826	1.063
7	Sundaram Mutual Fund A/C Sundaram Select Micro Cap - Series - XVII				
	Opening Balance as on 01.04.2018	132499	0.806		
	20.04.2018	(5374)	(0.033)	127125	0.773
	27.04.2018	(3323)	(0.020)	123802	0.753
	18.05.2018	(10963)	(0.067)	112839	0.686
	29.06.2018	(465)	(0.003)	112374	0.683
	03.08.2018	15	0.000	112389	0.683
	24.08.2018	(669)	(0.004)	111720	0.679
	21.12.2018	209	0.001	111929	0.681
	28.12.2018	416	0.003	112345	0.683
	Closing Balance as on 31.03.2019			112345	0.683
8	Sundaram Alternative Opportunities Fund - Nano Cap Series II				
	Opening Balance as on 01.04.2018	119994	0.730		
	Closing Balance as on 31.03.2019			119994	0.730
9	Dolly Khanna				
	Opening Balance as on 01.04.2018	103527	0.629		
	06.04.2018	7000	0.043	110527	0.672
	13.04.2018	26000	0.158	136527	0.830
	20.04.2018	27000	0.164	163527	0.994
	27.04.2018	1000	0.006	164527	1.000

01.06.2018	1000	0.006	165527	1.006
08.06.2018	1000	0.006	166527	1.012
15.06.2018	3040	0.018	169567	1.031
22.06.2018	6000	0.036	175567	1.067
13.07.2018	2000	0.012	177567	1.080
20.07.2018	(1000)	(0.006)	176567	1.074
03.08.2018	15000	0.091	191567	1.165
24.08.2018	(1275)	(0.008)	190292	1.157
07.09.2018	3000	0.018	193292	1.175
21.09.2018	1400	0.009	194692	1.184
28.09.2018	1000	0.006	195692	1.190
05.10.2018	11000	0.067	206692	1.257
12.10.2018	1000	0.006	207692	1.263
19.10.2018	12000	0.073	219692	1.336
26.10.2018	15000	0.091	234692	1.427
02.11.2018	19000	0.116	253692	1.542
16.11.2018	2000	0.012	255692	1.555
30.11.2018	4000	0.024	259692	1.579
07.12.2018	3000	0.018	262692	1.597
14.12.2018	7000	0.043	269692	1.640
21.12.2018	7000	0.043	276692	1.682
28.12.2018	6000	0.036	282692	1.719
25.01.2019	2000	0.012	284692	1.731
01.02.2019	1000	0.006	285692	1.737
08.02.2019	(13500)	(0.082)	272192	1.655
15.02.2019	(9000)	(0.055)	263192	1.600
22.02.2019	(6000)	(0.036)	257192	1.564
01.03.2019	(2000)	(0.012)	255192	1.552
22.03.2019	(2000)	(0.012)	253192	1.539
29.03.2019	(2000)	(0.012)	251192	1.527
Closing Balance as on 31.03.2019			251192	1.527
10 Morgan Stanley (France) S.A.				
Opening Balance as on 01.04.2018	100044	0.608		
20.04.2018	(6292)	(0.038)	93752	0.570
27.04.2018	(15819)	(0.096)	77933	0.474
04.05.2018	(22561)	(0.137)	55372	0.337
11.05.2018	(5070)	(0.031)	50302	0.306
15.06.2018	(89)	(0.001)	50213	0.305
22.06.2018	(117)	(0.001)	50096	0.305
06.07.2018	76	0.000	50172	0.305

	20.07.2018	(35000)	(0.213)	15172	0.092
	24.08.2018	97	0.001	15269	0.093
	31.08.2018	198	0.001	15467	0.094
	07.09.2018	111	0.001	15578	0.095
	21.09.2018	(51)	0.000	15527	0.094
	28.09.2018	(15024)	(0.091)	503	0.003
	12.10.2018	(2)	0.000	501	0.003
	19.10.2018	(361)	(0.002)	140	0.001
	22.02.2019	(8)	0.000	132	0.001
	Closing Balance as on 31.03.2019			132	0.001
11	Four Dimensions Securities (India) Ltd				
	Opening Balance as on 01.04.2018	74800	0.455		
	26.10.2018	200	0.001	75000	0.456
	02.11.2018	(8500)	(0.052)	66500	0.404
	09.11.2018	(1500)	(0.009)	65000	0.395
	28.12.2018	(5000)	(0.030)	60000	0.365
	08.02.2019	(10000)	(0.061)	50000	0.304
	Closing Balance as on 31.03.2019			50000	0.304
12	K C Thomas				
	Opening Balance as on 01.04.2018	68011	0.414		
	03.08.2018	(20700)	(0.126)	47311	0.288
	10.08.2018	(4300)	(0.026)	43011	0.262
	Closing Balance as on 31.03.2019			43011	0.262
13	Ritu Elizabeth George				
	Opening Balance as on 01.04.2018	64118	0.390		
	Closing Balance as on 31.03.2019			64118	0.390
14	Tina Suzanne George				
	Opening Balance as on 01.04.2018	52995	0.322		
	Closing Balance as on 31.03.2019			52995	0.322
15	IDBI Small Cap Fund				
	Opening Balance as on 01.04.2018	49600	0.302		
	06.07.2018	(1910)	(0.012)	47690	0.290
	27.07.2018	(529)	(0.003)	47161	0.287
	03.08.2018	(4471)	(0.027)	42690	0.260
	31.08.2018	(10000)	(0.061)	32690	0.199
	28.09.2018	40000	0.243	72690	0.442
	05.10.2018	13398	0.081	86088	0.523
	30.11.2018	8342	0.051	94430	0.574
	07.12.2018	1721	0.010	96151	0.585
	01.02.2019	100	0.001	96251	0.585

08.02.2019	10000	0.061	106251	0.646
22.02.2019	2425	0.015	108676	0.661
Closing Balance as on 31.03.2019			108676	0.661
16	Suresh Kumar Agarwal			
Opening Balance as on 01.04.2018	0	0.000		
21.12.2018	110000	0.669	110000	0.669
Closing Balance as on 31.03.2019			110000	0.669

Note: The details of shareholding are maintained by respective Depositories and it is not feasible to provide daily change in the shareholding of top ten shareholders. Therefore, consolidated changes during the year 2017 - 2018 have been provided.

v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Name of the Directors and KMPs	Shareholding at the beginning of the year i.e. 01.04.2018		Shareholding at the end of the year i.e. 31.03.2019	
		No. of Shares	% of total Shares of the Company	No. of Shares	% of total Shares of the Company
1.	Mr. Thomas John Muthoot, Chairman	3136094	19.067	3134094	19.055
2.	Mr. Thomas George Muthoot, Managing Director	3131210	19.038	3131430	19.039
3.	Mr. Thomas Muthoot, Non-Executive, Non-Independent Director	3076624	18.706	3076624	18.706
4.	Mr. Vinodkumar M. Panicker, Chief Finance Officer	1100	0.006	1100	0.006

Note: No other Director or KMP held any shares of the Company during the FY 2018 - 2019.

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(₹ in lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the FY i.e. 01.04.2018				
i) Principal Amount	1149 19.89	213 05.23	82 27.98	1444 53.10
ii) Interest due but not paid	8.44	0.00	13.40	21.84
iii) Interest accrued but not due	3 96.06	4 51.94	5 58.82	14 06.82
Total (i + ii + iii)	1153 24.39	217 57.17	88 00.20	1458 81.76
Change in Indebtedness during the financial year				
i) Addition	277 36.53	0.00	0.00	277 36.53
ii) Reduction	0.00	141 97.89	21 93.03	163 90.92
Net Change	277 36.53	(141 97.89)	(21 93.03)	113 45.61
Indebtedness at the end of the FY i.e. 31.03.2019				
i) Principal Amount	1425 92.09	70 52.96	61 55.42	1558 01.47
ii) Interest due but not paid	2.45	0.24	17.56	20.25
iii) Interest accrued but not due	4 66.38	5 05.08	4 34.19	14 05.65
Total (i + ii + iii)	1430 60.92	75 59.28	66 07.17	1572 27.37

* Interest due, but not paid represents the interest due on Unclaimed Matured Debentures and Public Deposits.

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A) Remuneration to Managing Director, Whole-time Directors and/or Manager

(₹ in lakhs)

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
Thomas George Muthoot			
1.	Gross Salary		
(a)	Salary as per provisions contained in Section 17 (1) of the Income Tax Act, 1961	1 74.00	1 74.00
(b)	Value of perquisites under Section 17 (2) of the Income Tax Act, 1961	6.00	6.00
(c)	Profits in lieu of salary under Section 17 (3) of the Income Tax Act, 1961	--	--

2. Stock Option	--	--
3. Sweat Equity	--	--
4. Commission	--	--
5. Others - PF Contribution	10.44	10.44
Total (A)	1 90.44	1 90.44
Ceiling as per the Companies Act, 2013	₹ 421.00 lakhs (calculated as per Section 198 of the Companies Act, 2013)	

B) Remuneration to other Directors: (₹ in lakhs)

(1) Independent Directors	Fee for attending Board/ Committee meetings	Commissions	Total Amount
A.P Kurian	1.20	--	1.20
R.K Nair	1.05	--	1.05
Radha Unni	1.05	--	1.05
Total (1)	3.30	--	3.30
(2) Non - Executive Directors	Fee for attending Board/ Committee meetings	Commissions	Total Amount
Thomas John Muthoot	--	--	--
Thomas Muthoot	--	--	--
Total (2)	--	--	--
Total (B) = (1) + (2)	3.30	--	3.30

C) Remuneration to Key Managerial Personnel Other than MD / Manager / WTD (₹ in lakhs)

Sl. No.	Particulars of Remuneration	KMP		Total Amount
		CFO	CS ¹	
1.				
(a)	Salary as per provisions contained in Section 17 (1) of the Income Tax Act, 1961	76.66	12.46	89.12
(b)	Value of perquisites under Section 17 (2) of the Income Tax Act, 1961	4.75	--	4.75
(c)	Profits in lieu of salary under Section 17 (3) of the Income Tax Act, 1961	--	--	--
2.	Stock Option	--	--	--
3.	Sweat Equity	--	--	--
4.	Commission	--	--	--
5.	Others - PF Contribution	5.90	--	5.90
	Total (C)	87.31	12.46	99.77

¹From April 18, 2018 to March 28, 2019

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief description	Details of penalty/ punishment/ compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made, if any (give details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

NIL

For and on behalf of the Board of Directors

Sd/-

Thomas John Muthoot
Chairman
DIN: 00011618

Kochi
April 24, 2019