

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Muthoot Capital Services Limited**
2. Quarter ending - **30-September-2020**

**i. Composition Of Board Of Director**

<b>Title (Mr./Ms)</b>	<b>Name of the Director</b>	<b>DIN</b>	<b>PAN</b>	<b>Category (Chairperson /Executive/Non- Executive/ Independent/ Nominee)</b>	<b>Sub Category</b>	<b>Initial Date of Appointment</b>	<b>Date of Appointment</b>	<b>Date of cessation</b>	<b>Tenure</b>	<b>Date of Birth</b>	<b>Whether special resolution passed ?</b>	<b>Date of passing special resolution</b>
Mr.	THOMAS JOHN MUTHOOT	00011618	ABNPT4694B	C,NED		18-Feb-1994	17-Jun-2019			07-Jun-1962	NA	
Mr.	THOMAS GEORGE MUTHOOT	00011552	ABNPT4693G	ED	MD	18-Feb-1994	12-Jul-2016			07-Jun-1962	NA	
Mr.	THOMAS MUTHOOT	00082099	AEA PM0424L	NED		18-Feb-1994	28-Sep-2020			15-Jul-1966	NA	
Mr.	A P KURIAN	00008022	AAC PK8993Q	ID		06-Apr-1994	03-Sep-2019		60	20-Jun-1933	Yes	17-Jun-2019
Mrs.	RADHA UNNI	03242769	AAA PU1518P	ID		28-Jun-2014	03-Sep-2019		60	04-Dec-1948	NA	
Mr.	K M ABRAHAM	05178826	ADP PM7213D	ID		18-Jan-2019	17-Jun-2019		60	30-Dec-1957	NA	
Mr.	THOMAS MATHEW	01277149	AIG PM3808G	ID		01-Apr-2019	17-Jun-2019		60	20-Feb-1951	NA	

<b>Title (Mr./Ms)</b>	<b>Name of the Director</b>	<b>DIN</b>	<b>PAN</b>	<b>No. of Directorship in listed entities including this listed entity</b>	<b>No of Independent Directorship in listed entities including this listed entity</b>	<b>No of memberships in Audit/ Stakeholder Committee(s) including this listed entity</b>	<b>No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity</b>	<b>Membership in Committees of the Company</b>	<b>Remarks</b>
Mr.	THOMAS JOHN MUTHOOT	00011618	ABNPT4694B	1	0	1	0	SC	
Mr.	THOMAS GEORGE MUTHOOT	00011552	ABNPT4693G	1	0	1	0	SC	
Mr.	THOMAS MUTHOOT	00082099	AEAPM0424L	1	0	1	1	AC,SC	
Mr.	A P KURIAN	00008022	AACPK8993Q	1	1	1	0	AC,NRC	
Mrs.	RADHA UNNI	03242769	AAAPU1518P	4	4	2	1	AC,NRC	
Mr.	K M ABRAHAM	05178826	ADPPM7213D	2	2	3	0	AC,SC	
Mr.	THOMAS MATHEW	01277149	AIGPM3808G	2	2	0	2	AC,NRC	

<b>Company Remarks</b>	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

**ii. Composition of Committees**

**a. Audit Committee**

<b>Sr. No.</b>	<b>Name of the Director</b>	<b>Category</b>	<b>Chairperson/Membership</b>	<b>Appointment Date</b>	<b>Cessation Date</b>
1	THOMAS MATHEW	ID	Chairperson	01-Apr-2019	
2	A P KURIAN	ID	Member	04-Aug-2014	
3	RADHA UNNI	ID	Member	04-Aug-2014	
4	THOMAS MUTHOOT	NED	Member	04-Aug-2014	
5	K M ABRAHAM	ID	Member	28-May-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

<b>Sr. No.</b>	<b>Name of the Director</b>	<b>Category</b>	<b>Chairperson/Membership</b>	<b>Appointment Date</b>	<b>Cessation Date</b>
1	THOMAS MUTHOOT	NED	Chairperson	04-Aug-2014	
2	THOMAS JOHN MUTHOOT	C,NED	Member	04-Aug-2014	
3	THOMAS GEORGE MUTHOOT	ED	Member	04-Aug-2014	
4	K M ABRAHAM	ID	Member	28-Mar-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

<b>Sr. No.</b>	<b>Name of the Director</b>	<b>Category</b>	<b>Chairperson/Membership</b>	<b>Appointment Date</b>	<b>Cessation Date</b>
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Company Remarks	
Whether Permanent chairperson appointed	

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	A P KURIAN	ID	Chairperson	04-Aug-2014	
2	RADHA UNNI	ID	Member	04-Aug-2014	
3	THOMAS MATHEW	ID	Member	01-Apr-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
28-May-2020	28-Jul-2020	Yes	7	4
	28-Sep-2020	Yes	7	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	61

**iv. Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	28-May-2020		Yes	4	3
Audit Committee		28-Jul-2020	Yes	5	4
Audit Committee		28-Sep-2020	Yes	5	4
Stakeholders Relationship Committee	28-May-2020		Yes	4	1

Stakeholders Relationship Committee		28-Jul-2020	Yes	4	1
Nomination & Remuneration Committee	28-May-2020		Yes	3	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	61

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

**Name** : **Abhijith Jayan**  
**Designation** : **Company Secretary & Compliance Officer**

**ANNEXURE III**

**Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : Abhijith Jayan  
**Designation** : Company Secretary & Compliance Officer